# Child-Resistant Packaging Statements in Drug Product Labeling

## Guidance for Industry

## DRAFT GUIDANCE

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For questions regarding this draft document contact (CDER) Richard Lostritto 301-796-1697 or (CBER) Office of Communication, Outreach and Development at 1-800-835-4709 or 240-402-8010.

U.S. Department of Health and Human Services Food and Drug Administration Center for Drug Evaluation and Research (CDER) Center for Biologics Evaluation and Research (CBER) August 2017 Labeling

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## Child-Resistant Packaging Statements in Drug Product Labeling Guidance for Industry<sup>1</sup>

This draft guidance, when finalized, will represent the current thinking of the Food and Drug Administration (FDA or Agency) on this topic. It does not create any rights for any person and is not binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible for this guidance as listed on the title page.

## I. INTRODUCTION

14 15 This guidance is intended to assist applicants, manufacturers, packagers, and distributors 16 (collectively referred to as firms) who choose to include child-resistant packaging (CRP) 17 statements in their drug product<sup>2</sup> labeling. The guidance discusses what information should be included to support CRP statements in labeling for new drug applications (NDAs), abbreviated 18 19 new drug applications (ANDAs), biologic license applications (BLAs), and supplements to these 20 applications. In addition to recommendations for labeling of prescription drug products, this 21 guidance also includes recommendations for labeling both for nonprescription drug products<sup>3</sup> 22 approved under an NDA or ANDA and those that are marketed under the Over-the-Counter 23 (OTC) Drug Review. This guidance is intended to help ensure that such labeling is clear, useful, 24 informative, and, to the extent possible, consistent in content and format.<sup>4</sup> 25

26 In general, FDA's guidance documents do not establish legally enforceable responsibilities.

27 Instead, guidances describe the Agency's current thinking on a topic and should be viewed only

28 as recommendations, unless specific regulatory or statutory requirements are cited. The use of

the word *should* in Agency guidances means that something is suggested or recommended, butnot required.

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<sup>&</sup>lt;sup>1</sup> This guidance has been prepared by the Center for Drug Evaluation and Research and the Center for Biologics Evaluation and Research at the Food and Drug Administration.

<sup>&</sup>lt;sup>2</sup> References to drugs and biological products include drugs approved under section 505 of the Federal Food, Drug, and Cosmetic Act (FD&C) and biological products licensed under section 351 of the Public Health Service Act (PHSA) that are drugs. For the purposes of this guidance, *drug product* or *drug* will be used to refer to human prescription drug and biological products that are regulated as drugs.

<sup>&</sup>lt;sup>3</sup> For the purposes of this guidance, the term nonprescription drug products refers to over-the-counter (OTC) drug products.

<sup>&</sup>lt;sup>4</sup> This guidance is intended to apply to FDA-regulated drug products that bear CRP statements, regardless of whether CRP is required for such products under 16 CFR 1700. For example, bulk packages of prescription drugs that are shipped to pharmacies for repackaging by a pharmacist are not required to utilize CRP, but a firm may nevertheless choose to use CRP (and a CRP statement) for such drugs. 16 CFR 1701.1.

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#### II. 33 DISCUSSION

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In 1970, the Poison Prevention Packaging Act (PPPA) was enacted to protect children (under 5 35

years of age) from unintentional exposure to household substances including food, drugs, and 36

37 cosmetics.<sup>5</sup> Under the Federal Food, Drug, and Cosmetic Act (FD&C Act), a drug that has

38 packaging or labeling that is in violation of a regulation issued pursuant to section 3 or 4 of the PPPA is deemed to be misbranded.<sup>6</sup> FDA was responsible for enforcing the PPPA until 1973, 39

when jurisdiction was transferred to the U.S. Consumer Product Safety Commission (CPSC). 40

- Because of FDA's authority to regulate labeling for prescription and nonprescription drug 41
- products, if firms choose to make statements in their labeling for such products about child-42
- 43 resistant packaging, such statements must comply with FDA's statutory and regulatory
- 44 requirements.<sup>8</sup>
- 45

CPSC's regulations list "special packaging standards"<sup>9,10</sup> (also referred to herein as child-46

resistant packaging, or CRP) for a wide range of household products, including most oral 47

- prescription drugs and many nonprescription drug products.<sup>11</sup> There are different ways to make 48
- 49 packaging child-resistant, with the most common forms being a child-resistant closure (e.g., a
- 50 "safety cap") and certain unit-dose blister packaging (e.g., puncture-resistant and peel-push

blisters). However, not all container closures (i.e., packaging components that contain and 51

52 protect drug products), including unit of use packaging, are child-resistant. Further, "child-

53 resistant" should not be equated with "child-proof," because CRP is not designed to completely

54 eliminate the possibility of an accidental pediatric ingestion. It can only impede access to 55 harmful products.

56

57 Child-resistant packaging is regarded as an important public health safety tool for avoiding

- harmful outcomes related to unsupervised pediatric ingestions.<sup>12</sup> However, the use of the child-58
- resistant packaging is also recognized by public health experts as only one component of 59

preventing these events. Public health campaigns emphasize the need for consumer education on 60

safe storage practices for medications.<sup>13</sup> When medications are stored in reach and sight of 61

children, children are able to gain access to and defeat the child-resistant closure in some 62

63 instances, thereby reducing the effectiveness of the packaging measure. Therefore, FDA

<sup>&</sup>lt;sup>5</sup> Poison Prevention Packaging Act of 1970 (PPPA), (Pub. L. 91-601, 84 Stat. 1670-74), enacted December 30, 1970.

<sup>&</sup>lt;sup>6</sup> See FD&C Act, § 502(p).

<sup>&</sup>lt;sup>7</sup> Consumer Product Safety Act, Public Law 92-573; 86 Stat. 1207, October 27, 1972, Sec. 30.

<sup>&</sup>lt;sup>8</sup> See, e.g., FD&C Act § 502(a), (c).

 $<sup>^{9}</sup>$  See definitions in section 2 (4) of the PPPA.

<sup>&</sup>lt;sup>10</sup> Special packaging and child-resistant packaging (CRP) are used interchangeably in this guidance.

<sup>&</sup>lt;sup>11</sup> See 16 CFR 1700 for substances requiring special packaging and the relevant packaging standards and testing procedures.

<sup>&</sup>lt;sup>12</sup> Early studies in the 1960s demonstrated nearly a tenfold reduction in unsupervised pediatric ingestions with medicines with special packaging distributed from the Fort Lewis-McChord Air Force Base in Washington. Subsequent research on effectiveness has been published, and in 2005 CPSC estimated that special packaging has saved the lives of more than a thousand children. See http://www.cpsc.gov/en/Regulations-Laws--Standards/Statutes/Poison-Prevention-Packaging-Act/. <sup>13</sup> As an example, see the Up and Away Campaign led by the Centers for Disease Control at <u>www.upandaway.org.</u>

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- 64 advocates that all drugs, irrespective of the type of packaging, be stored safely out of reach and
- sight of children to further the overall public health efforts to address this safety issue.
- 66
- 67 FDA regulates certain aspects of drug products' container closure systems related to safety and
- 68 efficacy as part of the drug application review and approval process.<sup>14,15</sup> During FDA's review
- 69 of an NDA, ANDA, or BLA (and nonprescription drugs marketed under an application), various
- 70 data related to container closure systems are evaluated, including, for example, the type of
- 71 closure employed, the stability of the product in the container closure system, and whether the 72 closure design is suitable for the product. FDA's review does not include evaluation of testing
- reports to determine whether a product. TDA's review does not include evaluation of testing reports to determine whether a product meets the applicable standards for special packaging set
- 74 forth in the PPPA and its implementing regulations.
- 75
- 76 With respect to nonprescription drug products marketed under the OTC Drug Review, FDA does
- not review data related to container closure systems, as applications for individual drug products
- vunder the OTC Drug Review are not submitted to FDA for review or approval. In addition,
- although manufacturers of nonprescription products marketed under the OTC Drug Review must
- 80 comply with the labeling requirements under 21 CFR 201.66, they are not required to submit
- 81 labeling to FDA prior to marketing. In this guidance, we recommend text<sup>16</sup> that may be
- 82 appropriate to consider when including CRP statements on the containers and packaging of
- 83 products marketed under the OTC Drug Review.
- 84

## 85 III. LABELING

86

87 Because healthcare professionals and consumers may not be able to determine on visual 88 inspection whether packaging is child-resistant, a labeling statement may help to identify this 89 attribute. As a general matter, if a drug product is packaged using CRP and the firm elects to 90 include labeling statements that identify the product as packaged with CRP, the CRP should be 91 described using words and not abbreviations (e.g., "CRP," "CRC," or "CR") or symbols because 92 abbreviations and symbols may not be readily understood. Because it is important to clarify that 93 CRP statements in labeling describe how the product is supplied from the manufacturer, versus 94 how the product is dispensed by a pharmacist, the term "supplied" as opposed to "available" is 95 preferred.

96

97 Section 502(a) of the FD&C Act provides that a drug is deemed to be misbranded if its labeling

- 98 is false or misleading in any particular. In general, to ensure that CRP statements on labeling are
- 99 not false or misleading, such statements should only be used when the drug product packaging

<sup>&</sup>lt;sup>14</sup> FDA does not regulate retail pharmacy vials or other containers used by pharmacies to repackage drugs to dispense to patients.

<sup>&</sup>lt;sup>15</sup> See FDA guidance for industry *Container Closure Systems for Packaging Human Drugs and Biologics*. This guidance is available on the Internet at

https://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm under Guidances (Drugs).

<sup>&</sup>lt;sup>16</sup> See section III. B.

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100 101	has been shown to comply with the applicable CPSC regulatory standards and test procedures for CRP. <sup>17,18</sup>				
101	CKF.				
102	We provide	additional recommendations for the labeling of prescription drug products and			
103	We provide additional recommendations for the labeling of prescription drug products and nonprescription drug products below.				
104	nonpresenpe	tion drug products below.			
105	А.	Prescription Drug Products			
100	<b>A</b> •	rescription Drug riouucis			
107	1.	Prescribing Information			
100	1.	Treserioning Information			
110	If a firm cho	ooses to include information about CRP in the prescribing information, such			
111	information should appear in the HOW SUPPLIED/STORAGE AND HANDLING section as				
112	this is generally where practitioners look to ascertain information about a product's packaging. It				
113	is important that the CRP statements be linked clearly to a particular package, especially when				
114	multiple packages are supplied and not all have been demonstrated to be child-resistant.				
115					
116	Examples include the following:				
117					
118		HOW SUPPLIED/STORAGE AND HANDLING			
119					
120		• Drug X is supplied in 30 g, 4 oz. tubes with a child-resistant cap.			
121					
122		• Drug X is supplied as child-resistant sachets.			
123					
124		• The 50 mg tablet is film-coated, round, biconvex, pink, scored, and is			
125		debossed with XXX on one side and scored on the other side.			
126		Bottles of 30 with child-resistant closure, NDC xxxx-xxx-xx			
127		Bottles of 60 with child-resistant closure, NDC xxxx-xxx-xx			
128		Bottles of 500, NDC xxxx-xxx"			
129					
130	2.	Patient Information			
131	<b>TO 01 -</b>				
132		poses to include information about CRP for a prescription drug product whose			
133	commercial	container bearing the CRP is designed to be dispensed directly to patients, the CRP			

134 information should be included in the patient labeling (e.g., medication guides, patient package

<sup>&</sup>lt;sup>17</sup> See 16 CFR 1700.15 for poison prevention packaging standards and 16 CFR 1700.20 for special packaging testing procedures. In order to make household substances that are subject to the PPPA's special packaging requirements readily available to elderly or handicapped persons who are unable to use those substances in special packaging, section 4(a) of the PPPA authorizes manufacturers and packers to package such substances in non-complying packaging of a single size provided that: 1) complying packaging is also supplied, and 2) the non-complying packages are conspicuously labeled to indicate that they should not be used in households where young children are present. In order to comply with CPSC regulations, any non-complying packages a firm elects to market pursuant to section 4(a) of the PPPA must bear the labeling described in 16 CFR 1700.5.

<sup>&</sup>lt;sup>18</sup> We note that if a product is subject to the special packaging requirements of the PPPA, but its packaging or labeling is in violation of applicable regulations issued pursuant to section 3 or 4 of the PPPA, it may also be misbranded under section 502(p) of the FD&C Act.

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135 136	inserts). Information about the CRP in patient labeling should appear under a heading titled "How should I store Drug X?" The description should be consistent with the CRP statement(s)					
137	included in the HOW SUPPLIED/STORAGE AND HANDLING section of the full prescribing					
138	information.					
139 140	Examples of	the CPD description on the national labeling include the following:				
140	Examples of the CRP description on the patient labeling include the following:					
		How should Laton Drug V9				
142 143		How should I store Drug X?				
		Drug V somes in a shild register tracks as				
144		• Drug X comes in a child-resistant package.				
145						
146		• Drug X comes in a sealed child-resistant foil pouch.				
147		The following statement should also appear at the and of the "How should I store				
148		The following statement should also appear at the end of the "How should I store $D_{\text{max}} X^2$ , agation:				
149 150		Drug X?' section:				
		• Veen Drug V and all medicines out of the reach of children				
151 152		• Keep Drug X and all medicines out of the reach of children.				
152	3.	Carton Labeling and Container Labels				
155	5.	Carlon Labeling and Container Labels				
154	If a firm choo	oses to include information about the CRP on carton labeling and container labels, it				
156	may do so as long as there is sufficient space to include such information in addition to					
157	information required to be included. <sup>19</sup> If space permits, a firm may also include a storage					
158	statement in conjunction with the CRP statement to recommend that the package be kept out of					
159	reach of children, particularly for those packages which may be dispensed directly to patients.					
160		bout CRP are most appropriately displayed on the side panels of the carton labeling				
161	and container labels in close proximity to storage information.					
162						
163	Examples inc	clude the following:				
164	•					
165		• This package is child-resistant. Store at 20°C-25°C (68°F-77°F); excursions				
166		permitted to 15°C-30°C (59°F-66°F).				
167						
168		• This package is child-resistant. Keep out of reach of children. Store at				
169		20°C-25°C (68°F-77°F); excursions permitted to 15°C-30°C (59°F-66°F).				
170						
171	В.	Nonprescription Drug Products				
172						
173	1.	Drug Facts Labeling				
174						
175	0	ons do not specify where to place CRP statements on labeling for nonprescription				
176	drug products	s. If firms choose to include the statement in the drug facts labeling (DFL), it				

<sup>&</sup>lt;sup>19</sup> If the container label is too small, see 21 CFR 201.10(i).

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- 177 should appear under the subheading "Other information" with the storage statement.<sup>20</sup>
- 178 Placement of CRP statements on the labeling must not interfere with required information on the 179 labeling.<sup>21</sup>
- 180
- 181 "Other information" is the subheading used for additional information that is not included under
- 182 the other DFL subheadings, but which is required or is made optional under an OTC drug
- 183 monograph(s), other nonprescription drug regulation(s), approved drug application, statute, or  $\frac{1}{2}$
- 184 guidance. A CRP statement would be considered to be "additional information"<sup>22</sup> and as such 185 would follow any required statements.
- 185 v 186
- 187 The following examples illustrate types of information considered to be "other information,"188 including a CRP statement:
- 189

191

- 189 190
- Read the directions and warnings before use.
- Keep the carton. It contains important information.
- This package is child-resistant.
- 192 193 194

195

196

- Store at 20-25°C (68-77°F) and protect from moisture.
- 2. Carton Labeling and Container Labels

197 Even if the CRP statement(s) are included in the DFL, their placement on the carton labeling 198 and/or container labeling outside the DFL is still optional. And, if the CRP statement is not 199 included in the DFL, it is still permissible to include a CRP statement(s) on the carton labeling and/or the container labeling outside the DFL, space permitting.<sup>23</sup> Appropriate text could read 200 "this package is child-resistant." For small containers and/or cartons, appropriate text could read 201 202 "child-resistant package." Although any available panel or part of a panel, outside the DFL, is 203 appropriate for this use, consumers may find this information to be more useful if displayed on 204 the principal display panel(s).

205

## 206 IV. PROCESS FOR INCLUDING STATEMENTS REGARDING CRP ON THE 207 LABELING

208

209 If firms choose to include CRP statements on their product labeling, they should verify in writing

for FDA that the CRP meets the standards set forth by the CPSC in 16 CFR 1700, as applicable, 24.25

- as discussed below.<sup>24,25</sup> FDA also recommends that firms retain the data demonstrating that the packaging meets applicable CPSC standards.
- 213

<sup>&</sup>lt;sup>20</sup> See § 201.66(c)(7).

<sup>&</sup>lt;sup>21</sup> See FD&C Act § 502(c).

<sup>&</sup>lt;sup>22</sup> See § 201.66(c)(7)(iii).

<sup>&</sup>lt;sup>23</sup> In such circumstances, we encourage applicants to discuss their plans with FDA.

<sup>&</sup>lt;sup>24</sup> The written verification discussed in this guidance is intended for FDA only, and is separate from the certification required to be provided to CPSC under 15 USC 2063 and 16 CFR 1110.

<sup>&</sup>lt;sup>25</sup> Firms should provide such written verification to FDA to support CRP statements even in circumstances where they have elected to use CRP for products that are not subject to the special packaging requirements of 16 CFR 1700.

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- 214A.Prescription Drug Products and Nonprescription Drug Products Approved215Under an Application
  - 1. Original NDA, BLA, or ANDA submission

In an original NDA, BLA, or ANDA submission, written verification that the CRP meets the
CPSC's standards under 16 CFR 1700 should appear in the container closure section of Module
3 of the Electronic Common Technical Document (eCTD). An example of the written
verification may be "We verify in this submission that the following package (or packages) meet
CPSC's standards under 16 CFR 1700."

224 225

2.

216 217

218

Postapproval Change

If there is a postapproval change to the package or labeling of a product approved under an
NDA, BLA, or ANDA, refer to appropriate regulations and guidances to determine the
appropriate pathway to implement these changes.<sup>26</sup> Submissions for changes to add CRP
statements on labeling should verify in writing that the CRP meets the CPSC's standards under
16 CFR 1700 and should appear in the detailed container closure description section of Module 3
in the eCTD. An example of the written verification may be "We verify in this submission that
the following package (or packages) meet CPSC's standards under 16 CFR 1700."

- 234
- 235 236

## **B.** Nonprescription Drug Products Marketed Under the OTC Drug Review

237 There is no defined process for submission of a written verification to FDA that a

238 nonprescription drug product marketed under an OTC monograph meets CPSC's standards under

239 16 CFR 1700. However, if you elect to include a CRP statement on the labeling of a

240 nonprescription drug product marketed under an OTC monograph, you should retain the data

demonstrating that the packaging meets applicable CPSC standards and follow the labeling

recommendations in this guidance.

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<sup>&</sup>lt;sup>26</sup> See 21 CFR 314.70 and 601.12 for reporting requirements for changes to approved applications for drug products and licensed biological products.